

1 [COUNSEL LISTED ON SIGNATURE PAGE]

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UNITED STATES DISTRICT COURT

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NORTHERN DISTRICT OF CALIFORNIA, SAN FRANCISCO DIVISION

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HUAWEI TECHNOLOGIES CO., LTD., et al.,

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Plaintiffs,

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v.

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SAMSUNG ELECTRONICS CO., LTD., et al.,

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Defendants.

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SAMSUNG ELECTRONICS CO., LTD. &
SAMSUNG ELECTRONICS AMERICA, INC.,

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Counterclaim-Plaintiffs,

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v.

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HUAWEI TECHNOLOGIES CO., LTD.,
HUAWEI DEVICE USA, INC., HUAWEI
TECHNOLOGIES USA, INC. & HISILICON
TECHNOLOGIES CO., LTD.,

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Counterclaim-Defendants.

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CASE NO. 16-cv-02787-WHO

**STIPULATION AND [PROPOSED]
ORDER OF DISMISSAL, WITHOUT
PREJUDICE, OF HUAWEI'S
SECOND CAUSE OF ACTION FOR
DECLARATORY JUDGMENT OF
FRAND TERMS AND CONDITIONS
FOR CROSS-LICENSE (COUNT II),
PURSUANT TO FRCP 15(a)(2)**

Hearing Date: July 18, 2018

Time: 2:00 p.m.

Place: Courtroom 2, 17th Floor

Judge: Hon. William H. Orrick

1 Plaintiffs and Counterclaim-Defendants Huawei Technologies Co., Ltd., Huawei Device
 2 USA, Inc., and Huawei Technologies USA, Inc. (collectively “Huawei”), and Defendants and
 3 Counterclaim-Plaintiffs Samsung Electronics Co., Ltd., Samsung Electronics America, Inc., and
 4 Samsung Research America, Inc. (collectively “Samsung”) would like to inform the Court that they
 5 have reached an agreement on a stipulation to dismiss, without prejudice, pursuant to Rule 15(a)(2),
 6 Huawei’s Second Cause of Action seeking a declaration of the terms and conditions for a FRAND
 7 cross-license under the parties’ portfolios of patents essential to the 3GPP UMTS (3G) and LTE
 8 (4G) standards (“Count II”). *See* Dkt. 1 at ¶¶ 61-68 (Second Cause of Action); *id.* at Prayer for
 9 Relief, B.

10 The parties further agree the below stipulation will dispose of Samsung’s pending Motion
 11 for Judgment on the Pleadings Regarding Huawei’s Cause of Action for Declaratory Judgment of
 12 FRAND Terms and Conditions for a Cross-License (Dkt. 303).

13 IT IS HEREBY STIPULATED AND AGREED by the undersigned counsel for the parties
 14 that:

- 15 1. Huawei agrees to dismiss, without prejudice, pursuant to Rule 15(a)(2), Count II of the
 16 Complaint, which sought a declaratory judgment setting the terms and conditions of a
 17 cross-license to the parties’ portfolios of 3G and 4G standard essential patents, and will
 18 not otherwise ask the Court in this action to set the terms and conditions of a cross-
 19 license, or a one-way license, to either parties’ U.S. or global portfolios of 3G and 4G
 20 standard essential patents.
- 21 2. Huawei will not file any action in the U.S. seeking a declaration of the terms and
 22 conditions that would be FRAND for either a one-way or cross license to the parties’
 23 global or U.S. portfolios of SEPs for a period of no less than nine (9) months following
 24 the date of this stipulation
- 25 3. Both parties will reserve their positions regarding what evidence, if any, may be admitted
 26 at trial relating to Huawei’s Count II.
- 27 4. This agreement does not prevent Huawei from seeking admission of evidence or
 28 argument that it offered to submit the parties’ dispute to a third-party arbitrator. Nor

1 does this agreement prevent Samsung from seeking, via motion in limine or otherwise,
2 to exclude evidence or argument that Huawei offered to submit the parties' dispute to a
3 third-party arbitrator, based on Rule 403 or other authority or argument. However,
4 Samsung agrees that it will not reference Huawei's Count II, or the dismissal, without
5 prejudice, thereof, as a basis to support the exclusion of evidence or argument that
6 Huawei offered to submit the parties' dispute to a third-party arbitrator.

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1 DATED: July 17, 2018

Respectfully Submitted,

2 By: /s/ Michael J. Bettinger (with permission) By: /s/ Victoria F. Maroulis

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4 Michael J. Bettinger (SBN 122196)
5 *mbettinger@sidley.com*
6 Irene Yang (SBN 245464)
7 *irene.yang@sidley.com*
8 SIDLEY AUSTIN LLP
9 555 California Street, Ste. 2000
San Francisco, California 94104
Telephone: +1 415 772-1200
Facsimile: +1 415 772-7400

Charles K. Verhoeven (Cal. Bar No. 170151)
charlesverhoeven@quinnmanuel.com
David A. Perlson (Cal. Bar No. 209502)
davidperlson@quinnmanuel.com
QUINN EMANUEL URQUHART &
SULLIVAN, LLP
50 California Street, 22nd Floor
San Francisco, California 94111
Tel: 415-875-6600
Fax: 415-875-6700

10 David T. Pritikin (*pro hac vice*)
dpritikin@sidley.com
11 David C. Giardina (*pro hac vice*)
dgiardina@sidley.com
12 Douglas I. Lewis (*pro hac vice*)
dlewis@sidley.com
13 John W. McBride (*pro hac vice*)
jwmcbride@sidley.com
14 SIDLEY AUSTIN LLP
15 One South Dearborn
16 Chicago, Illinois 60603
Telephone: +1 312 853 7000
Facsimile: +1 312 853 7036

17 Kevin Johnson (Cal. Bar No. 177129)
kevinjohnson@quinnmanuel.com
Victoria Maroulis (Cal. Bar No. 202603)
victoriamaroulis@quinnmanuel.com
QUINN EMANUEL URQUHART &
SULLIVAN, LLP
555 Twin Dolphin Drive, 5th Floor
Redwood Shores, California 94065
Tel: 650-801-5000
Fax: 650-801-5100

18 *Attorneys for Huawei Technologies Co., Ltd., Attorneys for Samsung Electronics Co., Ltd.,*
19 *Huawei Device USA, Inc., Huawei*
Technologies USA, Inc., and HiSilicon
Technologies Co., Ltd.

Samsung Electronics America, Inc., and

Samsung Research America, Inc.

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1 PURSUANT TO STIPULATION, IT IS SO ORDERED.
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5 DATED: July 18, 2018
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The Honorable William H. Orrick
United States District Court Judge

1 **ATTESTATION**

2 I, Victoria F. Maroulis, am the ECF user whose ID and password are being used to file the
3 above stipulation. In compliance with Civil L.R. 5-1(i)(3), I hereby attest that concurrence in the
4 filing of the document has been obtained from its signatory.

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6 */s/ Victoria F. Maroulis*
7 Victoria F. Maroulis
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